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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Kuei-Jung, Lee
 Filing Date: 09/01/2004
 Examiner: DANG, KHANH
 Art Unit: 2111
 APPL No.: 10/711,196
10 Docket. No.: WNCB0003USA4
 Confirmation No.: 5195

15 Title: PERIPHERAL DEVICE HAVING A PERSONAL DISK USED
 FOR STORING DEVICE DRIVERS AND DRIVING METHOD
 THEREOF

20 To: The Commissioner for Patents
 P.O. BOX 1450
 Alexandria, VA 22313-1450

25 Subject: Information disclosure statement Under
 37C.F.R.§1.56.

30 25 Dear Sir:

35 This is an Information Disclosure Statement in accordance
 with the duty to disclose information material to
 patentability under 37 CFR §1.56. The applicant wishes to make
 of record each of the documents listed on the accompanying
 form PTO/SB/08.

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Since the IDS is filed before the mailing date of a final Office action on the merits, consideration of the information disclosure statement is hereby requested according to 37C.F.R. \$1.97(c). The listed pre-grant publications are cited

5 by the State Intellectual Property Office of the People's Republic of China on 2006/06/09 for a counterpart Chinese application #03152555.5, therefore each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in

10 a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Applicant sincerely hopes that the examiner can consider the items contained in the information disclosure statement.

15 According to the requirement set forth in 37 CFR \$1.98 and MPEP 609, applicant is submitting a copy of items contained in the IDS: Chinese Publications #CN1343917A (published on 2002/04/10), #CN1449169A (published on 2003/10/15), #CN1553319A (published on 2004/12/08).

20

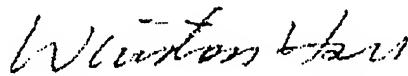
The Chinese Publication #CN1343917A has a counterpart US patent publication #2004/0267987. The English abstracts of the Chinese Publications #CN1449169A and #CN1553319A published in the European Patent Office are attached to this

25 IDS to comply with the IDS requirements.

30

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Respectfully submitted,



Date: SEP. 08 2006

S Winston Hsu, Patent Agent No. 41,526
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Facsimile: 806-498-6673
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10

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3/3

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Substitute for Form 1448A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Shu

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U. S. PATENT DOCUMENTS

| | | | | | |
|--|---|----|---|------------------------|---------------|
| Substitute for form 144BA/PTO | | | | Complete If Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Application Number | 10/711,196 |
| | | | | Filing Date | 09/01/2004 |
| | | | | First Named Inventor | Kuei-Jung Lee |
| | | | | Art Unit | 2111 |
| | | | | Examiner Name | Dang, Khanh |
| | | | | Attorney Docket Number | WNCP0003USA4 |
| Sheet | 1 | of | 1 | | |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear |
| | | Country Code ² Number ³ Kind Code ⁴ (if known) | | | |
| 2 | CN 1343917A | | 04-10-2002 | DENG GUOSHUN; CHENG XIAOHUA; XIAO FENG | all |
| 3 | CN 1449169A | | 10-15-2003 | JIN CHUN | all |
| 4 | CN 1553319A | | 12-08-2004 | WU ZUCHNOYU | all |
| | | | | | |
| | | | | | |
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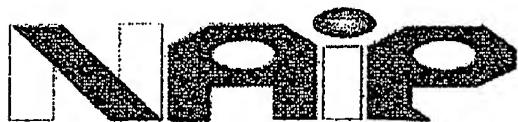
| | | |
|-----------------------|--------------------|--|
| Examiner Signature | Date Considered | |
|-----------------------|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds of Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Intellectual Property Corporation**

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Art Unit: 2111

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From : Winston Hsu, Registration No. 41,526

Serial No.: 10/711,196

Attorney Docket No.: WNCP0003USA4

Subject: Information Disclosure Statement (IDS)

Total Pages: 62 pages (including cover page)

Winston Hsu SEP. 08 2006

WNCP0003USA4_DL_3

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TRANSMITTAL
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(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

61

| | |
|----------------------|---------------|
| Application Number | 10/711,196 |
| Filing Date | 09/01/2004 |
| First Named Inventor | Kuei-Jung Lee |
| Art Unit | 2111 |
| Examiner Name | Dang, Khanh |

Attorney Docket Number

WNCP0003USA4

ENCLOSURES (Check all that apply)

| | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Remarks _____ | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | North America Intellectual Property Corporation | | |
| Signature | <i>Zoe Huang</i> | | |
| Printed name | Winston Hsu | | |
| Date | SEP. 08 2006 | Reg. No. | 41,526 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| | |
|-----------------------|------------------|
| Signature | <i>Zoe Huang</i> |
| Typed or printed name | Zoe Huang |
| Date | SEP. 08 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4816).

FEE TRANSMITTAL
For FY 2005

| |
|--|
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 |
| TOTAL AMOUNT OF PAYMENT (\$) 0.00 |

| <i>Complete if Known</i> | |
|--------------------------|---------------|
| Application Number | 10/711,196 |
| Filing Date | 09/01/2004 |
| First Named Inventor | Kuei-Jung Lee |
| Examiner Name | Dang, Khanh |
| Art Unit | 2111 |
| Attorney Docket No. | WNCP0003USA4 |

METHOD OF PAYMENT (check all that apply)

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|--|--------------------------------------|---|-------------------------------|---|
| <input type="checkbox"/> Check | <input type="checkbox"/> Credit Card | <input type="checkbox"/> Money Order | <input type="checkbox"/> None | <input type="checkbox"/> Other (please identify): _____ |
| <input checked="" type="checkbox"/> Deposit Account | | | Deposit Account Number | 50-3105 |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below | | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee | | |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 | | <input checked="" type="checkbox"/> Credit any overpayments | | |
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FEES CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | |
|------------------|--------------------------|----------|--------------------------|----------|--------------------------|---------------|
| | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 |
| Design | 200 | 100 | 100 | 50 | 130 | 65 |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 |

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Small Entity**Fee (\$)****Fee (\$)**

Each independent claim over 3 (including Reissues)

Fee (\$)**Fee (\$)**

Multiple dependent claims

Multiple Dependent Claims

| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|----------|---------------|
| - 20 or HP = | x | = | |

HP = highest number of total claims paid for, if greater than 20.

Fee (\$)**Fee Paid (\$)**

| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|---------------|--------------|----------|---------------|
| - 3 or HP = | x | = | |

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
| - 100 = | / 50 | (round up to a whole number) x | = | |

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

Fee Paid (\$)**SUBMITTED BY**

| | | | | | |
|-------------------|-------------------|--------------------------------------|--------|-----------|------------|
| Signature | Winston Hsu | Registration No. (Attorney/Agent) | 41,526 | Telephone | 3027291562 |
| Name (Print/Type) | Date SEP. 08 2006 | | | | |

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